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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

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#12  
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1-1902

Applicants: Perkel, Rachel; Scafa, Rosemarie  
Assignee: Charles Schwab & Company, Inc.  
Title: "SYSTEM AND METHOD FOR AUTOMATED  
DOCUMENTATION SYSTEM FOR SOLICITED TRADES"  
Serial No.: 09/877,988 Filing Date: June 8, 2001  
Examiner: Mary Benton Group Art Unit: Unassigned  
Docket No.: M-8723 US



San Jose, California  
December 4, 2001

BOX NON FEE AMENDMENT  
COMMISSIONER FOR PATENTS  
Washington, D. C. 20231

**PRELIMINARY AMENDMENT CROSS REFERENCED TO RELATED APPLICATIONS**

Dear Sir:

Prior to examination on the merits, Applicant respectfully requests the following amendments be entered in the above-identified application.

**IN THE SPECIFICATION**

At page 1, line 4, please insert the following new paragraph:

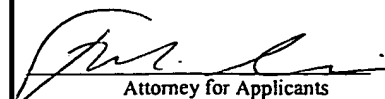
**CROSS - REFERENCE TO RELATED APPLICATIONS**

This application claims the benefit of the filing date of Provisional Application No. 60/252,825 filed on November 22, 2000.

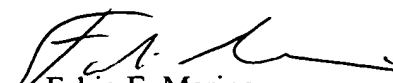
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I hereby certify that this correspondence is being deposited with the United States Postal Service as First Class Mail in an envelope addressed to: Commissioner for Patents, Washington, D.C. 20231, on December 4, 2001.

  
Attorney for Applicants  
12/4/01  
Date of Signature

Respectfully submitted,

  
Fabio E. Marino  
Attorney for Applicants  
Reg. No. 43,339